

Minutes

Regulatory Oversight Committee

Open Meeting

February 14, 2024 | 8:30-9:30 a.m. Central
In-Person

The Houstonian Hotel Houston
111 North Post Oak Lane
Houston, TX 77024
Conference Room: Grand Ballroom (lower level)

Mr. Robin E. Manning, Chair, called to order the duly noticed meeting of the Board of Trustees Regulatory Oversight Committee (ROC or Committee) of the North American Electric Reliability Corporation (NERC) on February 14, 2024, at approximately 8:30 a.m. Central Time, and a quorum was declared present.

Present at the meeting were:

Committee Members

Robin E. Manning, Chair
Larry Irving
Susan Kelly
George S. Hawkins
Suzanne Keenan
Kenneth W. DeFontes, Jr., *ex officio*

Board of Trustees Members

James B. Robb, NERC President and Chief Executive Officer
Robert G. Clarke
Jim Piro
Kristine Schmidt

NERC Staff

Tina Buzzard, Assistant Corporate Secretary
Manny Cancel, Senior Vice President and CEO of the E-ISAC
Candice Castaneda, Senior Counsel
Howard Gugel, Vice President, Compliance Assurance and Registration
Kelly Hanson, Senior Vice President, Chief Administrative Officer
Stan Hoptruff, Vice President, Business Technology
Latrice Harkness, Director, Standards Development
Soo Jin Kim, Vice President, Engineering and Standards
Mark Lauby, Senior Vice President and Chief Engineer
James McGrane, Senior Counsel
Kristin Miller, Director, Internal Audit
Kaiesha Morgan, Program Specialist, Corporate Governance and Enforcement
John Moura, Director, Reliability Assessment and Performance Analysis
Lauren Perotti, Assistant General Counsel
Lonnie Ratliff, Director, Compliance Assurance and Certification
Sônia Rocha, Senior Vice President, General Counsel, and Corporate Secretary
Andy Sharp, Vice President, Chief Financial Officer

Teri Stasko, Assistant General Counsel and Director of Enforcement
Jim Stuart, Associate Director, Registration Services

Introduction and Chair's Remarks

Chair Manning welcomed the members of the Committee and other attendees to the first open meeting of the Committee. Chair Manning provided brief summaries of the February 13, 2024 and December 2023 Committee closed meetings. He also shared that, given the new responsibilities of the Committee and in the interest of transparency, the ROC will meet in open session three times a year.

NERC Antitrust Compliance Guidelines

Ms. Buzzard directed the participants' attention to the NERC Antitrust Compliance Guidelines included in the advance agenda package and indicated that all questions regarding antitrust compliance or related matters should be directed to Ms. Rocha.

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Upon motion duly made and seconded, the ROC approved the August 16, 2023 Compliance Committee Open Meeting minutes.

Standards Indicators

Ms. Kim shared the prioritization of Reliability Standards Development projects and the plan for completion for the high, medium, and low priority projects.

Compliance Monitoring and Enforcement Program Implementation Plan

Mr. Ratliff explained that the Compliance Monitoring and Enforcement (CMEP) Implementation Plan (CMEP IP) is the annual operating plan used by the ERO Enterprise in performing CMEP responsibilities and duties. He further explained that the risk elements identified in the CMEP IP serve as inputs in determining the appropriate monitoring of risks and related Reliability Standards and requirements in the Compliance Oversight Plan for each registered entity.

Compliance Monitoring and Enforcement Program (CMEP) and Organization Registration and Certification Program (ORCP) Annual Report

Messrs. Ratliff, Stuart, and McGrane presented the 2023 CMEP and ORCP Annual Report, noting 2023 ORCP and CMEP key accomplishments and 2024 CMEP and ORCP priorities. They also provided information and statistics regarding 2023 CMEP and ORCP activities.

Adjournment

There being no further business, and upon motion duly made and seconded, the meeting was adjourned.

Submitted by,



Sônia Rocha
Corporate Secretary